

# UTAH NATIONAL GUARD CHARITABLE TRUST

Annual Report and 2009 Financial Statement



# Charitable Trust



**Brigadier General  
Jefferson Burton**  
Utah Army National Guard  
Assistant Adjutant General — Army



**Brigadier General  
David Hooper**  
Utah Air National Guard  
Assistant Adjutant General — Air



**Colonel  
Lawrence Schmidt**  
Utah Army National Guard  
Chief of the Joint Staff

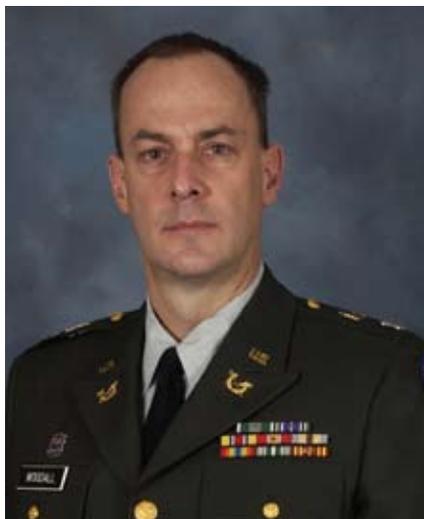
## Board of Directors

# Helping

**Colonel  
Lynn Humphreys**  
Utah Army National Guard  
Chaplain



**Colonel  
James Woodall**  
Utah Army National Guard  
State Staff Judge Advocate



**Command Sergeant Major  
Bruce Summers**  
Utah Army National Guard  
State Sergeant Major



Dear Friends of the Utah National Guard's Charitable Trust,

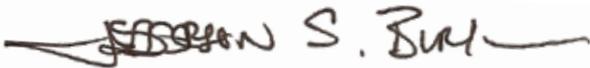
It has been almost five years since the Trust was chartered to assist military members and their families in times of need. Since its inception, the Trust has been there to assist more than 6,000 uniformed personnel during periods of personal hardship and combat deployments. In the past year, more than \$175,000 have been collected through private donations and by our corporate sponsors. We express our heartfelt thanks to those who donate so generously to this worthy cause.

We particularly recognize your tremendous generosity during the difficult financial times of the past year. As a board, we make every effort to treat this money as a sacred gift. We strive to be frugal and to help as many deserving military members as possible. We keep our operating costs low so that donors can be assured the maximum amount of their generous contributions is going directly to those in need. In 2009, our administrative costs were less than one percent of donated funds. We achieved this by maximizing the use of competent and caring volunteers to manage the Trust.

We prepare our financial statement annually in an effort to demonstrate our commitment to transparency, to highlight the programs that support those in need, and to keep our individual and corporate sponsors informed of the Trust's progress over the past year. We hope you find this report to be open, informative, and heartwarming.

As you examine these pages, we are confident that you will feel a sense of satisfaction in your association with the Trust. In addition to financial statements, we have included pictures and stories that detail exactly how the Trust is assisting real people in times of need. On behalf of our uniformed Servicemembers, their families and every board member, we express our heartfelt thanks for your kindness and generosity.

With sincere regards,

A handwritten signature in black ink that reads "JEFFERSON S. BURTON". The signature is written in a cursive style with a long horizontal flourish extending to the left and right.

Brigadier General Jefferson S. Burton  
President and Board Chairman



**\$10,000 and above**

Del Taco Corporation  
Goldman, Sachs & Company  
Ralph L. Wadsworth Construction Co  
Rio Tinto  
Triwest Healthcare Alliance  
Utah Pacific Bridge & Steel



**\$5,000 - \$9,999**

GPJ Marketing  
Honorary Colonels Corps of Utah  
Larry H. Miller Charities  
Universal Industrial Sales, Inc.  
Wal-Mart Foundation



**\$2,000 - \$4,999**

A-Core Inc.  
AMFAB Steel Specialist, Inc.  
Chatfield Construction Company, Inc.  
Dale Barton Agency  
Derek Smith  
Gerber Construction, Inc.  
Joanne Kolb  
Kennecott Utah Copper LLC  
Kirton & McConkie  
Mary Cote  
Rodrigo Lopez  
Staker Parson Companies  
Utah Soccer, LLC Real Salt Lake



**\$1,000 - \$1,999**

Altaview Concrete Inc.  
Applebee's  
Glade and Melody Rupp  
Gus Paulos  
H.W. Lochner  
Harper Ready Mix  
Johnson Investment Advisory Services  
McNeil Group  
Michael Baker Jr., Inc.  
Rasmussen Equipment Company  
Russell Gardner  
Sky View Excavation & Grading Inc.  
Utah Commercial Contractors, Inc.

## Charitable Trust Contributors

# Generosity





## \$500 - \$999

All Steel Fabricators, Inc  
 America Legion Post 77  
 Richard Brown  
 Citigroup Payment Services  
 Clayton Walker  
 DAW Construction Group, LLC  
 E & R Painting, Inc.  
 For Shor Co  
 George Hamilton  
 GSH Geotechnical Consultants  
 GSH Geotechnical, Inc.  
 Granite Construction Company  
 Hanson Eagle Precast  
 Larry Schmidt  
 LD Bowerman Investments, L.L.C  
 Prince Yeates & Geldzahler Lawyers  
 Rocky Mountain Mechanical  
 Salt Lake Cement Cutting, Inc.  
 Stanley Consultants, Inc.  
 Stantec Consulting, Inc.  
 Timpanogos Harley Charity  
 Trophy Ridge Outfitters  
 USAA

## In-Kind Donations

Associated Food Stores  
 Burton Lumber  
 Career Step  
 Chick-Fil-A  
 Dewalt  
 Directpoint  
 Ducks Unlimited  
 Encover  
 Equinox  
 For-Shor Co.  
 Goldman, Sachs & Company  
 Hertz Equipment Rental  
 Highland 20th Ward  
 ICS  
 Industrial Supply  
 Ken Garff  
 Ken Ivory  
 Les Olson Company  
 Levy Restaurants  
 Linda Larsen  
 Lynn Van Dinter  
 Macey's  
 M&M Tool

Ralph L. Wadsworth Construction Co.  
 Real Salt Lake  
 Rio Tinto Stadium  
 Riverton Hardware Supply  
 Sahara Cares  
 Sally Anderson  
 Sampson Equipment Co.  
 Sandy City  
 Sans Rotary Club  
 Snowbird Ski and Summer Resort  
 Sprint  
 Stock Building Supply  
 Sunstate Equipment Co.  
 TV Specialists, Inc.  
 United Site Services  
 White Cap  
 Zions Bancorporation

**Over the past year, we have benefited from some tremendous generosity from individual donors and corporate sponsors raising \$197,989.64 on behalf of the Trust.**





## The Trust operates with less than one percent of donated funds being used for administrative costs.

The Utah National Guard Charitable Trust was established in 2005 as a 501(c)3 nonprofit entity. Since its creation, the Trust has disbursed more than \$440,000 among hundreds of Airmen and Soldiers' families, typically to offset financial hardships incurred during deployment of their Servicemember.

Funds for the Trust have been provided by generous contributions from private donors and corporate partners.

Utah Guard members and their families make significant sacrifices to answer the call of the nation. Among these are financial

hardships endured while the military member serves overseas away from his or her family.

Many of our Citizen-Soldiers and Airmen experience dramatic reductions in income when they leave their civilian jobs and mobilize for full-time military service.

From the general fund of the Utah National Guard Charitable Trust, the Emergency Relief Fund provides assistance to Soldiers, Airmen, and their families who find themselves in financial hardship.

Here are some examples of how Emergency Relief funds are used:

- To cover transportation to and lodging costs at a military treatment facility for the spouse of an Airman or Soldier, who has been seriously injured or wounded while on active duty. Many charitable organizations arrange to donate frequent-flier miles for the spouse's airfare. We make the effort to use this resource when possible, but this option is not always feasible.

- Families of Airmen or Soldiers deployed for combat or a military school often struggle with meeting basic needs due to the difference between their military and civilian salaries.

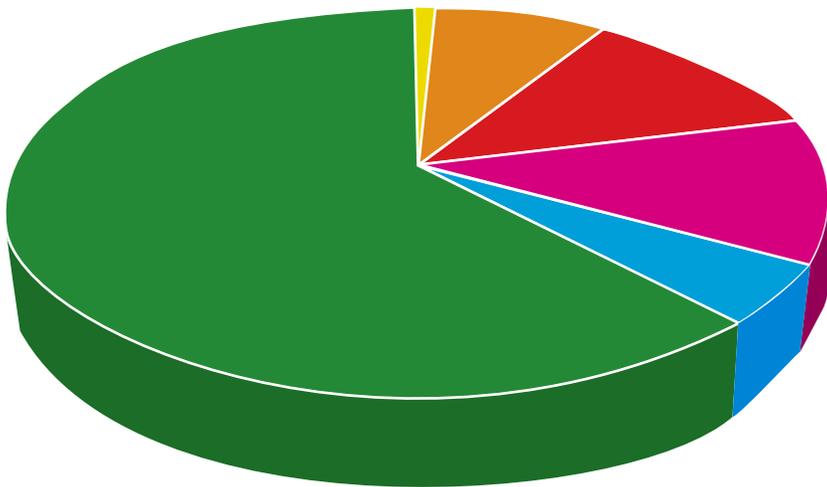
In such cases, the Trust will provide families in need with grocery gift cards, or a representative from the Utah National Guard Servicemember and Family Support Services will take them grocery shopping.

- Emergency home repairs represent a significant burden for the spouse of a deployed Airman or Soldier. Broken pipes, major appliance repairs and unexpected car trouble are commonplace occurrences for military families. In many cases, a National Guard member will perform the repairs so that the only cost to the Trust is for materials.



# Commitment

## 2009 Expenditures for Airmen and Soldiers



-  Administrative - 1%
-  Veterans Day Concert - 8%
-  Sub for Santa - 12%
-  Youth Programs - 12%
-  Wounded Warrior - 5%
-  Emergency Fund - 62%

The Trust gives families who have exhausted all other available resources the ability to work their way to greater financial and emotional stability.

This year, a wife of a deployed Soldier came into the Draper Family Assistance Center on a cold winter day with her two young children. She had lost her job, didn't have enough money to

pay for utilities, and was behind in her rent. She had exhausted all efforts to try to solve this problem on her own. The staff at each Family Assistance Center has access to a small 'food bank' within the center for emergencies just like this. Staff members were able to provide her with food. Charitable Trust funds were used to turn the utilities back on and pay the rent for this family. Staff members also

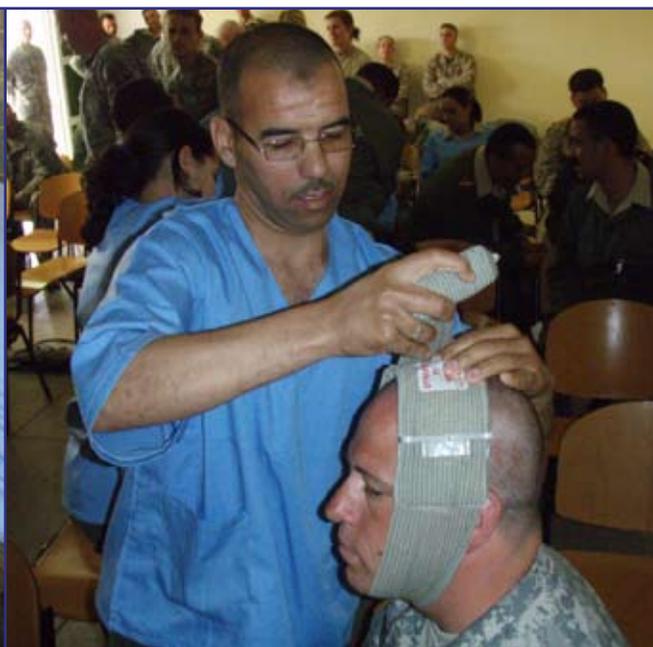
assisted her in searching for new employment.

A military wife was on her way home after paying some bills and was out of money. She called a Family Assistance Center from the side of the freeway where her car had broken down. She was scared, upset, and out of answers. With the help of Charitable Trust funds, arrangements were made to get her and her car off the freeway to safety.

Her car was repaired so it was once again reliable transportation for her family.

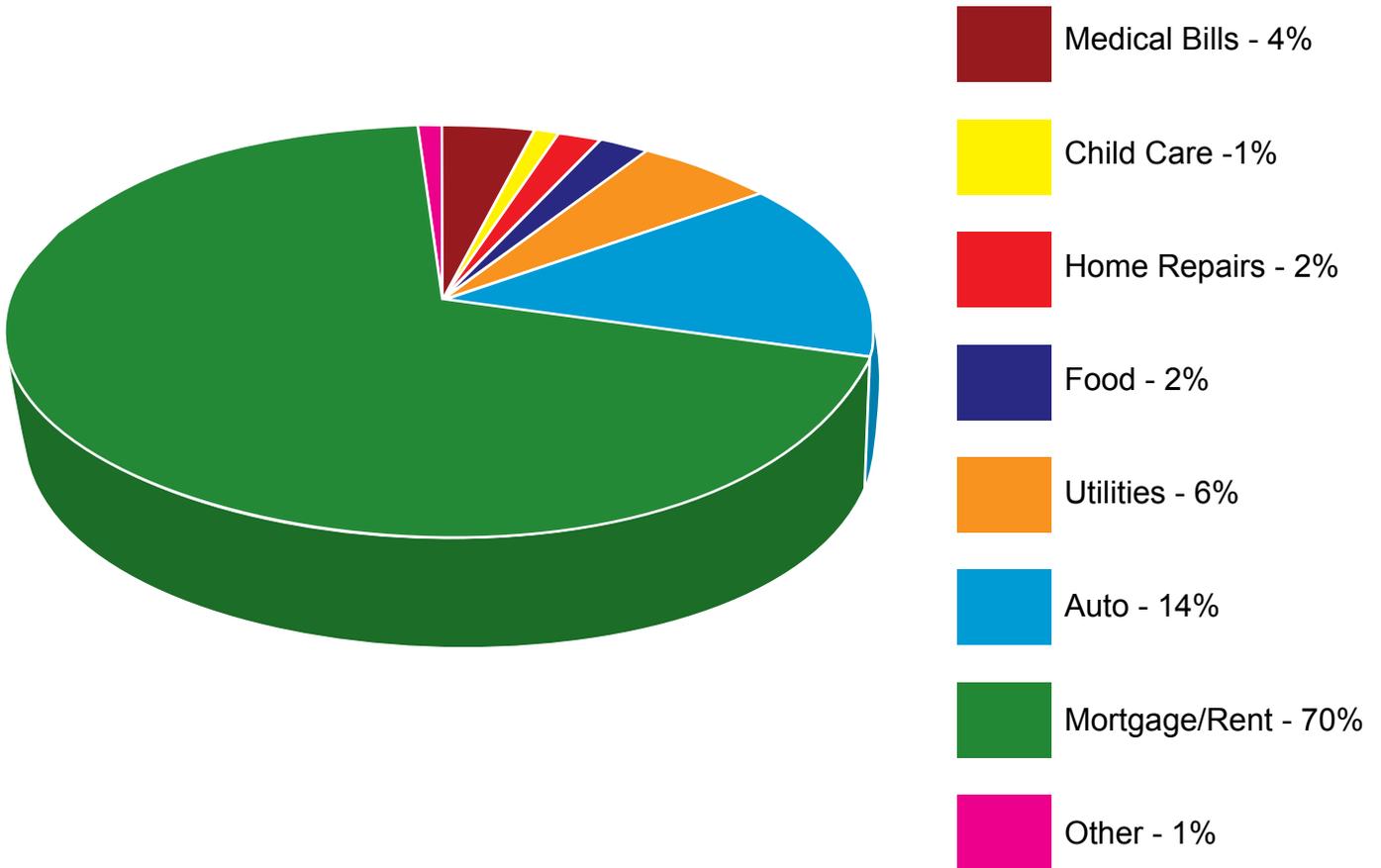
Volunteers who work with the Trust give valuable counseling to military families in financial hardship. They have helped families reduce debt and eliminate unnecessary expenses, effectively giving them the tools they need to move toward self-reliance.

**Our rock-bottom operating costs are made possible by wonderful volunteers who continue to donate their personal time to support our Soldiers, Airmen, and their families.**



# Emergency Relief Fund

# Impact





# Service

## Wounded Warrior Expenditures

When Soldiers are deployed, in many cases their monthly military income is less than their civilian pay. This additional hardship makes it difficult for a spouse or parent to fly to where their wounded Soldier or Airman has been evacuated to recover from their injuries.

With a recommendation from a chaplain or

commander, families may apply for assistance. As a member of the Trust's board, Col. Lynn Humphreys, the state chaplain, has referred many families for help. He says each application is considered carefully, but the Charitable Trust can also act quickly in an emergency, to secure transportation to an injured Soldier's side in a few short hours if needed.

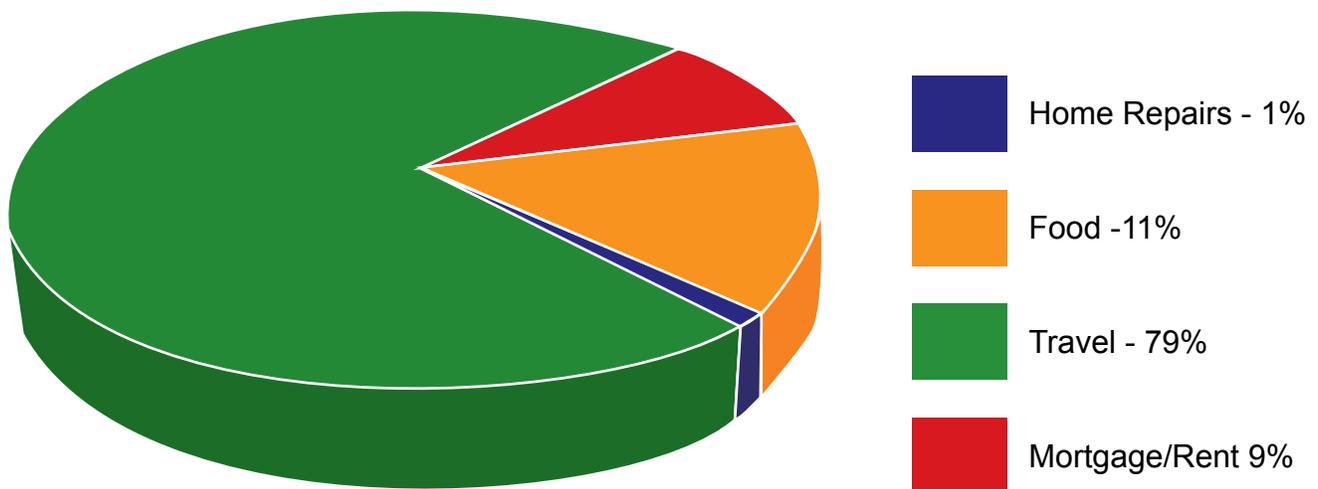
"It's just been a godsend to so many, many people," Humphreys said.

While deployed to Afghanistan, one of our Soldiers was severely injured as a rocket landed only five feet from him. The explosion killed two Navy military members. He was hospitalized at Madigan Army Medical Center at Fort Lewis, Wash., for more than

three months. By using Charitable Trust funds, his wife was able to fly to his bedside and comfort him during his recovery.

In its five-year history, the Trust has given aid to more than 800 military families, fulfilling a critical role in easing the financial strains of those who sacrifice for our country.

The vast majority of donations are used exclusively to help those in need.



# Making A

## Sub for Santa

We have some exciting stories to tell about how these donations have blessed the lives of individuals and families.

Once again the Utah National Guard Servicemember and Family Support Services staff was busy at work this holiday season to support the Utah National Guard Sub for Santa program. This program is designed to assist Utah National Guard members and their families with gifts and food during the holidays who otherwise may have gone without.

The program relies on

outside assistance from donors within the community who want to adopt a family for the holidays and purchase gifts. This year one organization, Goldman Sachs from Salt Lake City, donated \$12,000 to the program and shopped at local stores to purchase gifts for 23 families, providing for more than 70 children.

Sergeant Krystal Dowker from the Servicemember and Family

Support Services office, who coordinated with the Goldman Sachs team, said, "This is so amazing that the community supports our military families this way. It is wonderful to see this kind of assistance."

This year, the program assisted 103 military families in Utah with 320 children.

"Each year the program grows just a little bit more," said Tonia Johnson, who has coordinated the program

for the past five years.

Beginning in September, families can submit an application online with their needs, sizes, and wish lists for their children.

If you would like to find out more about this program, as well as others offered through the Utah National Guard Servicemember and Family Support Services, please visit [www.ut.ngb.army.mil/family](http://www.ut.ngb.army.mil/family) or call (801) 432-4080.



# Difference

## Youth Programs

Charitable Trust funds often augment federal funds set aside for our Utah National Guard Youth Program. Guard children have unique challenges in comparison to those of their active-duty counterparts. Children living on or near a military base have numerous resources and benefits that are easily accessible. They live next door to other

military kids and attend school with other children in their same situation.

In the Guard, Soldiers and Airmen often live in remote locations and in many cases may be only one of a few residents in their city or town who are deployed with the military. Their children have few friends who can relate to their experience. When

the Youth Program was started in 2004, youth leaders were asked what the children needed most. Resoundingly, they said that they needed to be with and see other kids who were going through the same things that they were going through.

Our Youth Program has been built around giving Utah National Guard kids

the chance to come together again and again to build a strong support network that will help them through these trying times. Without the Trust, our youth would not get the opportunities needed to make this happen.

Through ongoing monthly and summer events such as camps and the Great Utah Road Tour, our youth get the opportunity to strengthen those bonds.



Numbers alone cannot adequately demonstrate how many lives were touched or how many children were blessed as they participated in events hosted by our Family and Youth Programs.

## Trunk-or-Treat

The Child and Youth Program hosted their annual Halloween event Saturday, Oct. 24. Due to the large crowd it was held at Camp Williams this year. Youth from the Utah National Guard Youth Council took part in planning and executing the event, along with several volunteers who provided a Trunk or Treat for the hundreds of costumed children.

State Youth Coordinator Kelly Barnes estimated that between 1,200 -1,500 people attended this event.

“We served 1,000 hot dogs within the first hour and a half,” Barnes said.

Included in this year’s Trunk or Treat were balloon twisting clowns, jump houses, a DJ, cakewalks, craft stations, a cotton candy machine and food and drinks for everyone. Each child received a bag filled with goodies and candy when they arrived.

“This year, we invited people from some of our volunteer service organizations to hand out literature and educate our Servicemembers and Veterans about products



and services available to them,” said Barnes. “When you have a crowd this size, it’s a great opportunity to provide this service.”

Prior to the event start, the winds at Camp picked up and it became

very cold and rainy. Not to be deterred by this setback, people braved the elements and enjoyed a very busy and fun event.

“It was great to see so many people attend. I was actually overwhelmed by the numbers,” said Barnes. “The most important part of any event this size is the willingness of our awesome volunteers from the Air and Army National Guard and Youth Council who assisted in pulling this off. Each year, we try for bigger and better, so next year--bigger, perhaps--but better will be tough.”



# Selfless

## Minuteman 5K Run/Walk Annual Fundraising Event

The Minuteman 5K Run/Walk serves as the primary marketing event to showcase the need of Servicemembers and their families throughout our communities. The event was the brain-child of the Ralph L. Wadsworth Construction Company who through their corporate efforts has raised well over \$100,000 annually to support the

Utah National Guard Charitable Trust.

The 5th annual 2009 Minuteman 5K Run/Walk was held in August and helped create awareness of the Utah National Guard Charitable Trust. Over the last five years, there have been more than 1,800 participants in the race. The 2009 Minuteman 5K was held at its new venue, Rio Tinto Stadium in Sandy,

Utah. The race attracted more than 300 runners.

The Minuteman 5K has activities for the entire family with static displays provided by the Utah National Guard which include Recruiting's climbing wall, Aviation's Apache helicopter, Artillery's Paladin, and Engineer trucks. The event also featured music by the 23rd Army Rock Band, a free breakfast and runner bags

provided by the generous support of our title sponsors Ralph L. Wadsworth Construction Company, Rio Tinto Stadium, Chick-fil-A, Levy Restaurants, Associated Foods, Fresh Market, and Snowbird.

The 2010 Minuteman 5K will be held Aug. 14 at Rio Tinto Stadium. Register online at [www.utngtrust.org](http://www.utngtrust.org).



# Honor

## Veterans Day Concert

The Utah National Guard held its 54th annual Veterans Day Concert Nov. 11 at the University of Utah's Jon M. Huntsman Center.

Soldiers and Airmen, along with many retired military members and their families were in attendance. Wearing their red coats, members of the Honorary

Colonels Corps., who helped fund the event, were also present.

Band conductor Warrant Officer Denny Saunders led the 23rd Army Band in his first Veterans Day Concert as commander, following the retirement of CW3 J. Michael Cottam.

The program featured

musical numbers by the Band and the Granite School District combined choir. The 23rd Army Rock Band also performed numbers such as "American Soldier" by Toby Keith, featuring soloist SSG Ben Jacobson.

The 700-voice Granite School District high school combined choir was

organized by Mr. Clinton Frohm, music curriculum specialist for the Granite School District and retired military member. The choir was conducted by music directors from each of the high schools.

Senior MSgt Sterling Poulson was master of ceremonies for the concert,



## On behalf of everyone who has been aided by the Trust, we thank you!

themed “The Last Full Measure.” The concert included remarks by Adjutant General MG Brian L. Tarbet and Assistant Adjutant General for Army, BG Jefferson S. Burton.

Burton spoke about the devotion of the Utah National Guard members.

“It’s my honor to lead

the finest men and women in uniform,” he said, “and I know that they are ready at a moment’s notice to give that last full measure of devotion.”

The lights in the hall dimmed and under a single spotlight, Rod Decker, a KUTV 2News reporter, recited the Gettysburg

Address. Immediately following the reading, “Taps” rang through the silent hall while people stood in honor of the fallen.

Chief MSgt David Herrscher, a member of the Utah Air National Guard, was in attendance with his 85-year-old father.

“It was a great

experience to be at the concert with my dad who was in the third wave of Soldiers to land at Omaha Beach during World War II,” said Herrscher. “During the Armed Forces Medley, I was able to stand for the Air Force song and with my dad for the Army song.”

# IRS Determination Letters

# Freedom

INTERNAL REVENUE SERVICE  
P. O. BOX 2508  
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

OCT 11 2006

Date:

THE UTAH NATIONAL GUARD CHARITABLE  
TRUST  
C/O L S MCCULLOUGH II  
10 E SOUTH TEMPLE STE 900  
SALT LAKE CITY, UT 84133

Employer Identification Number:  
20-3694973  
DLN:  
17053094023046  
Contact Person:  
THOMAS M KALLMAN ID# 31383  
Contact Telephone Number:  
(877) 829-5500  
Accounting Period Ending:  
December 31  
Public Charity Status:  
170(b)(1)(A)(vi)  
Form 990 Required:  
Yes  
Effective Date of Exemption:  
October 17, 2005  
Contribution Deductibility:  
Yes  
Advance Ruling Ending Date:  
December 31, 2009

Dear Applicant:

We are pleased to inform you that upon review of your application for tax exempt status we have determined that you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code. Contributions to you are deductible under section 170 of the Code. You are also qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Code. Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

Organizations exempt under section 501(c)(3) of the Code are further classified as either public charities or private foundations. During your advance ruling period, you will be treated as a public charity. Your advance ruling period begins with the effective date of your exemption and ends with advance ruling ending date shown in the heading of the letter.

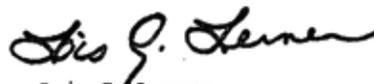
Shortly before the end of your advance ruling period, we will send you Form 8734, Support Schedule for Advance Ruling Period. You will have 90 days after the end of your advance ruling period to return the completed form. We will then notify you, in writing, about your public charity status.

Please see enclosed Information for Exempt Organizations Under Section 501(c)(3) for some helpful information about your responsibilities as an exempt organization.

If you distribute funds to individuals, you should keep case histories showing the recipient's name and address; the purpose of the award; the manner of selection; and the relationship of the recipient to any of your officers, directors, trustees, members, or major contributors.

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely,



Lois G. Lerner  
Director, Exempt Organizations  
Rulings and Agreements

Enclosures: Information for Organizations Exempt Under Section 501(c)(3)  
Statute Extension



**Part X Public Charity Status (Continued)**

- e 509(a)(4)—an organization organized and operated exclusively for testing for public safety.
- f 509(a)(1) and 170(b)(1)(A)(iv)—an organization operated for the benefit of a college or university that is owned or operated by a governmental unit.
- g 509(a)(1) and 170(b)(1)(A)(vi)—an organization that receives a substantial part of its financial support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public.
- h 509(a)(2)—an organization that normally receives not more than one-third of its financial support from gross investment income and receives more than one-third of its financial support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions).
- i A publicly supported organization, but unsure if it is described in 5g or 5h. The organization would like the IRS to decide the correct status.

6 If you checked box g, h, or i in question 5 above, you must request either an **advance** or a **definitive ruling** by selecting one of the boxes below. Refer to the instructions to determine which type of ruling you are eligible to receive.

a **Request for Advance Ruling:** By checking this box and signing the consent, pursuant to section 6501(c)(4) of the Code you request an advance ruling and agree to extend the statute of limitations on the assessment of excise tax under section 4940 of the Code. The tax will apply only if you do not establish public support status at the end of the 5-year advance ruling period. The assessment period will be extended for the 5 advance ruling years to 8 years, 4 months, and 15 days beyond the end of the first year. You have the right to refuse or limit the extension to a mutually agreed-upon period of time or issue(s). Publication 1035, *Extending the Tax Assessment Period*, provides a more detailed explanation of your rights and the consequences of the choices you make. You may obtain Publication 1035 free of charge from the IRS web site at [www.irs.gov](http://www.irs.gov) or by calling toll-free 1-800-829-3676. Signing this consent will not deprive you of any appeal rights to which you would otherwise be entitled. If you decide not to extend the statute of limitations, you are not eligible for an advance ruling.

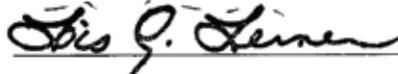
**Consent Fixing Period of Limitations Upon Assessment of Tax Under Section 4940 of the Internal Revenue Code**

For Organization  
  
 (Signature of Officer, Director, Trustee, or other authorized official)

SCOT T. OLSON  
 (Type or print name of signer)  
 GRANTOR  
 (Type or print title or authority of signer)

MARCH 8, 2006  
 (Date)

For Director, Exempt Organizations

By 

Date OCT 11 2006

- b **Request for Definitive Ruling:** Check this box if you have completed one tax year of at least 8 full months and you are requesting a definitive ruling. To confirm your public support status, answer line 6b(i) if you checked box g in line 5 above. Answer line 6b(ii) if you checked box h in line 5 above. If you checked box i in line 5 above, answer both lines 6b(i) and (ii).
- (i) (a) Enter 2% of line 8, column (e) on Part IX-A, Statement of Revenues and Expenses.
- (b) Attach a list showing the name and amount contributed by each person, company, or organization whose gifts totaled more than the 2% amount. If the answer is "None," check this box.
- (ii) (a) For each year amounts are included on lines 1, 2, and 9 of Part IX-A, Statement of Revenues and Expenses, attach a list showing the name of and amount received from each **disqualified person**. If the answer is "None," check this box.
- (b) For each year amounts are included on line 9 of Part IX-A, Statement of Revenues and Expenses, attach a list showing the name of and amount received from each payer, other than a disqualified person, whose payments were more than the larger of (1) 1% of line 10, Part IX-A, Statement of Revenues and Expenses, or (2) \$5,000. If the answer is "None," check this box.

7 Did you receive any unusual grants during any of the years shown on Part IX-A, Statement of Revenues and Expenses? If "Yes," attach a list including the name of the contributor, the date and amount of the grant, a brief description of the grant, and explain why it is unusual.  Yes  No



12953 South Minuteman Drive  
Draper, UT 84020-1776